Mission Success Starts with Safety

Reliability Engineering Applications and Case Studies

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Agenda

• Reliability Engineering Overview

- Reliability Engineering Definition
- The Reliability Engineering Case
- The Relationship to Safety, Mission Success, and Affordability
- Design VS. Process Reliability
- Reliability Prediction VS. Reliability Demonstration
- Uncertainty Analysis VS. Statistical Confidence
- Reliability VS. Probabilistic Risk Assessment (PRA)

Applications and Case Studies

- Conceptual System Reliability Trade Studies
 - The ARES V Conceptual Design Case
- Development Issues
 - The Roller Bearing Inner Race Fracture Case
- Technical Issues
 - The High Pressure Fuel Turbo-pump (HPFTP) First Stage Blade
- Integrated Failure Analysis
 - The Columbia Accident
- The NASA Engineering Center (NSC) Reliability and Maintainability Engineering Training Program
- The Reliability Challenges

Background

Reliability Engineering Definition

• Reliability Engineering is:

- The application of engineering and scientific principles to the design and processing of products, both hardware and software, for the purpose of meeting product reliability requirements or goals.
- The ability or capability of the product to perform the specified function in the designated environment for a specified length of time or specified number of cycles
- **Reliability as a Figure of Merit is:** The probability that an item will perform its intended function for a specified mission profile.
- Reliability is a very broad design-support discipline. It has important interfaces with most engineering disciplines
- Reliability analysis is critical for understanding component failure mechanisms and identifying reliability critical design and process drivers.
- A comprehensive reliability program is critical for addressing the entire spectrum of design engineering and programmatic concerns to sustainment and system life cycle costs.





Reliability Program Management & Control			
Reliability Program Plan Contractors and Suppliers Monitoring	Reliability Program AuditsReliability Pro Reports	gress Failure Review Processes	
Process Reliability	Reliability Requirements	Root Cause Analysis	
Design Reliability Drivers	Reliability Requirements Analysis	Worst Case Analysis	
Critical Parameter	Reliability Requirements Allocation	Human Reliability	
Process Characterization	Reliability Prediction	Stress Screening	
Process Parameter Design	Reliability	Sneak Circuit Analysis	
Statistical Process Control	→ Case ⊂	Probabilistic Design	
Process Monitoring		Analysis	
	Reliability Testing	FMEA/CIL	
Feedback Control Statistical Process Control Process Monitoring	Reliability Case	Sneak Circuit Analysis Probabilistic Design Analysis FMEA/CIL	



The Relationship to Safety, Mission Success, and Affordability









Design Reliability VS. Design Reliability





Design Reliability









Causes and Contributing Factors

- The zinc chromate <u>putty frequently failed</u> and permitted the gas to erode the primary O-rings.
- The particular material used in the manufacture of the shuttle O-rings was the wrong material to use at low temperatures.
- Elastomers become brittle at low temperatures.





The Challenger Accident

The redesign of the joint/seal shown here added a third O-ring and eliminated the troublesome putty which served as a partial seal.

Bonded insulation replaced the putty [Lewis, 1986].

A capture device was added to prevent or reduce the opening of the joint as the booster inflated under motor gas pressure during ignition.

The third O-ring would be added to seal the joint at the capture device.

The former O-rings would be replaced by rings of the same size but made of a better performing material called fluorosilicone or nitrile rubber.

Heating strips were added around the joints to assure the O-rings did not experience temperatures lower than 75 degrees Fahrenheit regardless of the surrounding temperature.

The gap openings which the O-rings were designed to seal were reduced to 6 thousandths of an inch from the former gap of 30 thousandths of an inch.





Process Reliability







The Columbia Accident



- Causes and Contributing Factors
 - Breach in the Thermal Protection System caused by the left bipod ramp insulation foam striking the left wing leading edge.
 - There were <u>large gaps in NASA's knowledge</u> about the foam.
 - cryopumping and cryoingestion, were experienced during tanking, launch, and ascent.
 - Dissections of foam revealed subsurface flaws and defects as contributing to the loss of foam.







Reliability Prediction VS. Reliability Demonstration Uncertainty Analysis VS. Statistical Confidence





- Reliability prediction is the process of quantitatively estimating the reliability of a system using both objective and subjective data.
- Reliability prediction is performed to the lowest level for which data is available. The sub-level reliabilities are then combined to derive the system level prediction.
- Reliability prediction during design is used as a benchmark for subsequent reliability assessments.
- Predictions provide managers and designers a rational basis for design decisions.
- Reliability prediction techniques are dependent on the degree of the design definition and the availability of historical data. Examples are:
 - Similarity analysis techniques: Reliability of a new design is predicted using reliability of similar parts; where failure rates are adjusted for the operating environment, geometry, material change, etc.
 - Physics-based techniques: Reliability is predicted using probabilistic engineering models expressed as loads and environment vs. capability
 - Techniques that utilize generic failure rates such as MIL-HDBK 217, Reliability Prediction of Electronic Equipment.



The Uncertainty Distributions





- Probabilistic analyses deal with uncertainties of estimates
- For low-probability events, uncertainty distributions are, in general rightskewed (tail to the right)





- Reliability Demonstration is the process of quantitatively estimating the reliability of a system using objective data at the level intended for demonstration.
- statistical formulas are used to calculate the demonstrated reliability or to demonstrate numerical reliability goal with some statistical confidence.
- Models and techniques used in reliability demonstration include Binomial, Exponential, Weibull models.
- Reliability growth techniques, such as the U.S. Army Material Systems Analysis Activity (AMSAA) and Duane models can also be used to calculate demonstrated reliability.
- Historically, some military and space programs employed this method to demonstrate reliability goals. For example, a reliability goal of .99 at 95% confidence level is demonstrated by conducting 298 successful tests.





Reliability Calculation through Demonstrated Tests By Using Binomial Statistical Formula



Number of Successful Tests Needed





Reliability VS. Probabilistic Risk Assessment





- Reliability: The probability that an item will perform its intended function for a specified mission profile.
- Risk: The chance of occurrence of an undesired event and the severity of the resulting consequences.
- **Probabilistic Risk assessment (PRA)** is the systematic process of analyzing a system, a process, or an activity to answer three basic questions:
 - What can go wrong that would lead to loss or degraded performance (i.e., scenarios involving undesired consequences of interest)?
 - How likely is it (probabilities)?
 - What is the severity of the degradation (consequences)?





- human errors, etc.)
- Insights into how various systems interact
 Tabulation of all the assumptions
- Identification of key parameters that greatly influence
- the results
- Presenting results of sensitivity studies
- Proposing candidate mitigation strategies

Ref., ESD 10011, Cross Program Probabilistic Risk Assessment Methodology



Reliability Prediction vs. PRA



Category	Reliability Prediction	PRA
What It Is	Methodology to Predict Reliability	Methodology to Predict System/Mission Accident Risk
Discipline	Reliability Engineering	System Safety
Domain	Space Flight System Design	Space Flight Mission
Objective	Successful Space Flight System Function	Space Flight Mission Accident Scenarios, i.e., <i>Accident Avoidance</i>
Measure	Probability of Success (e.g., 0.999)	<i>LOC/LOM</i> (e.g., 1/500)
Focus	<i>How the Space Flight System can Fail</i> , i.e., Loss of System Function, the Causes, and the Effects	How and to What Extent Accident Risk Propagates from Hazards/Failure Events, i.e., Hazardous/Failure Events and their Consequences
How It's Done	<i>FMEA</i> (Failure Modes, Mechanisms, Loads/Environments) → <i>RBD's/Failure Logic</i> <i>Diagrams</i> → <i>Probability & Statistics</i>	Hazards/Failure Mode Effects \rightarrow Event Sequence Diagrams \rightarrow Event Trees \rightarrow FTA \rightarrow Probability & Statistics
Input	Space Flight System Design and Process (e.g., manufacturing) Data, FMEA	Space Mission Data, Hazard Analysis/FTA, Failure Modes/Effects, Reliability Predictions (i.e., <i>Uses Output from Reliability Prediction</i>)
Users	Engineering Design, Program Management, Maintenance Planning/Logistics Support, System Safety/PRA (i.e., <i>Input to PRA</i>)	Engineering Design, Mission Design, Program Management

Reliability Engineering Applications

Reliability Engineering Applications

Applications and Case Studies

- The ARES V Conceptual Design Case Reliability Trade Studies
- The Orion Electrical Power System (EPS) Case Failure Tolerance
- Complex Reliability problems using simulation
 - The Space Shuttle External Tank (ET) Welds Case
 - The Space Shuttle Auxiliary Power Unit (APU) Case
- The Space Shuttle Main Engine (SSME) Reliability Tracking Case Reliability Growth
- The SSME Single Flight Reliability Case Life Limit Extension
- The Roller Bearing Inner Race Fracture Case Physics-based Reliability
- The High Pressure Fuel Turbo-pump (HPFTP) First Stage Blade Cracks Case A Weibull/Weibayes Application
- The Columbia Accident Integrated Failure Analysis





Conceptual System Reliability Trade Studies The ARES V Conceptual Design Case

Reliability Trades During Conceptual Phase





Vehicle Concept Assessment Methodology







The Process







Reliability Methodology - Notional

The Input / Output







Launch Vehicle Comparison





 \mathbf{n}

Booster Stage (each)

2 / 5 - Segment SRM

First Stage 6 / RS 68

Second Stage

1 / J-2X

Booster Stage (each)

2/5 - Segment SRM

First Stage 5 / RS-68

Second Stage 1 / J-2X





Earth Departure Stage (EDS)







Mission Reliability Over the Mission Profile









- Reliability is a critical system parameter that needs to be considered upfront in the design process along with performance and cost.
- Adopting a "Design for Reliability" philosophy is key in achieving NASA ambitious goals in safety and affordability.
- Reliability trade studies are part of a risk informed process to support architecture capability studies and conceptual design trades.

Development Study The Roller Bearing Inner Race Fracture Case

Background

During rig testing the AT/HPFTP Bearing experienced several cracked races. Three of four tests failed (440C bearing races Fractured)



Objective

- In this application, an analysis was done for the Pratt & Whitney Alternate Turbo-pump Development (ATD) to assist in a High Pressure Fuel Turbo-pump (HPFTP) roller bearing inner race fracture problem.
- In particular, the questions which needed to be addressed were:
 - The probability of failure due to the hoop stress exceeding the materials capa-bility strength was acceptable.
 - The effect of manufacturing stresses on the fracture probability.
- There were two different materials under consideration; the 440C (current material) and the 9310.

Probabilistic Engineering Analysis

 Probabilistic engineering analysis is used when not enough no failure data is available and the design is characterized by complex geometry or is sensitive to loads, material properties, and environments.


The Analytical Approach The Simulation Model



The Simulation Model

- Since this failure model is a simple overstress model, only two distributions need to be simulated: the hoop stress distribution and the materials capability distribution.
- In order to calculate the hoop stress distribution it was necessary to determine the materials properties variability.
- Of those materials properties that af-fected the total inner race hoop stress, a series of equations was derived which mapped these life drivers (such as modulus of elasticity, coefficient of thermal expansion, etc.) into the total Inner race hoop stress.
- In order to derive these equations, several sources of information were used which included P&W computer "design programs, equations from engineering theory, manufacturing stress data, and engineering judgment. This resulted in a distribution of the total hoop stress.

The Simulation Model

- In a similar fashion, a distribution on the materials ca-pability strength was derived.
- In this case, life drivers such as fracture toughness, crack depth/length, yield strength. etc. were important. The resulting materials capability strength distribution was then obtained through a similar series of equations.
- The Monte Carlo simulation in this case would calculate a random hoop stress and a random materials capability strength. if the former is greater than the later, a failure due to overstress occurs in the simulation. Otherwise, a success is recorded.
- The simulation was run for two different materials: 440C (current material) and 9310.
- After several thousand simulations are conducted, the percent which failed are recorded.

The Analysis Results

Test Failures	Race Configuration	Failures in 100,000 firings**	
3 of 4	440C w/ actual* mfg. stresses	68,000	
N/A	440C w /no mfg. stresses	1,500	
N/A	440 C w/ ideal mfg. stresses	27,000	
0 of 15	9310 w/ ideal mfg. stresses	10	

The results of this analysis clearly show that the 9310 material was preferred over the 440C in terms inner race fracture failure mode.

*ideal + abusive grinding**Probabilistic Structural Analysis

It is estimated that 50% of the through ring fractures would result in an engine shutdown. The shutdown 9310 HPFTP Roller Bearing Inner Race Failure Rate is then: 0.50 X 10/100k = 5 fail/100k firings.

The Roller Bearing Inner Race Fracture Case Conclusion

- The results of this analysis clearly showed that the 9310 material was preferred over the 440C in terms of the inner race fracture failure mode.
- Manufacturing stresses effect for the 440C material was very significant.
- Material selection has a major impact on Reliability.
- Probabilistic engineering analysis is critical to perform sensitivity analysis and trade studies for material selection and testing.

Technical Issues The Roller Bearing Inner Race Fracture Case

HPFTP First Stage Turbine Blade Cracks

Objective

• Determine the Space Shuttle flight risk due to a HPFTP first stage turbine blade failure

HPFTP First Stage Turbine Blade Cracks



HPFTP First Stage Turbine Blade Cracks Background

- A crack was found in a first stage turbine blade in HPFTP development unit 2423 during dye penetrant inspection 1/19/96.
- The subject blade had accumulated 20 starts and 9,826 seconds of operation.
- A total of 34 blade set of the current configuration have been dye penetrant inspected, with no other crack being found (see Database: Case 1).
- Metallurgical evaluation of blade:
 - Fracture is hydrogen assisted cracking
 - Fracture origin approximately in middle of bottom firtree lobe- starting on pressure side
 - No clear evidence of crack progression (striations)
 - Radial crack appears to be secondary origin at intersection of transverse crack.
 - Similar to previous firtree lobe cracking
 - Pressure side of bottom lobe (face) has little evidence (visually) of shot peening

HPFTP First Stage Turbine Blade Cracks

Assumptions

- A crack in a blade is a failure
- Only last dye penetrant inspection times are used (34 sets)
- One failure (crack) at 20 starts and 9826 seconds

HPFTP First Stage Turbine Blade Cracks

Database

Last dye penetrant inspection for current blade configuration

Starts	Seconds	Starts	Seconds
46	22,241	15	7,604
21	10,394	27	7,344
28	11,314	5	2,337
17	13,997	15	7,302
38	13,269	8	3,759
32	13,028	10	6,308
20	9,826	11	4,792
25	12,362	8	4,178
21	10,219	11	4,076
30	10,139	5	2,402
22	9,822	5	2,337
19	9,314	4	2,110
17	9,011	5	1,871
28	8,577	4	1,851
21	8,285	5	1,612
19	8,250	4	1,598
36	7,839	2	600

HPFTP

First Stage Turbine Blade Cracks Firtree Lobe Crack

Analysis Results

A Blade Crack is Considered a Failure

STS-75 Risk Summary for HPFTP First Stage Turbine Blade

STS-75	HPFTP	Based on Starts		Based on Time	
Engine	Unit #	Reliability	Risk	Reliability	Risk
ME-1	4112R1	0.999486	1 / 1,944	0.999436	1 / 1,773
ME-2	2128	0.999882	1 / 8,475	0.999943	1 / 17,507
ME-3	4016R1	0.999221	1 / 1,283	0.999517	1 / 2,070
3 Engine Cluster		0.998589	1 / 708	0.998896	1 / 906

- The starts and run time for the three pumps:
 - 2 STARTS/817 SEC
 - 2 STARTS/780 SEC
 - 4 STARTS /1856 SEC
- Weibull model was used for reliability predictions

The Roller Bearing Inner Race Fracture Case Conclusion

Rationale For Flight

- Manufacturing records review for the flight set showed no discrepancies
- Fleet leader blade set with 22241 seconds and 46 tests
- 53 blade sets tested greater than the flight units.
- Flight Reliability was assessed and risk was accepted by Shuttle program.

The NASA Reliability and Maintainability Engineering Training Program Integrated Analysis The Columbia Accident Case

The Columbia Accident

- Causes and Contributing Factors
 - Breach in the Thermal Protection System caused by the left bipod ramp insulation foam striking the left wing leading edge.
 - There were <u>large gaps in NASA's knowledge</u> about the foam.
 - cryopumping and cryoingestion, were experienced during tanking, launch, and ascent.
 - Dissections of foam revealed subsurface flaws and defects as contributing to the loss of foam.



Background ET Thermal Protection System

- The ET thermal protection system is a foam-type material applied to the external tank to maintain cryogenic propellant quality, minimize ice and frost formation, and protect the structure from ascent, plume, and re-entry heating.
- The TPS during re-entry is needed because after ET/Orbiter separation, premature structural overheating due to loss of TPS could result in a premature ET breakup with debris landing outside the predicted footprint.



The Issue Foam Flight Photos



The Foam Reliability



The Technical Approach The Relationship



Reliability of the ET TPS

- The reliability of the TPS is broadly defined as its strength versus the stress put on it in flight.
- High TPS reliability means less debris released and fewer hits to the orbiter, reducing system risk.
- Process control, process uniformity, high process capability are critical factors in achieving high TPS reliability.
- Good process uniformity and high process capability yield fewer process defects, smaller defect sizes, and good material properties that meets the engineering specification—the critical ingredients of high reliability.

Foam Spray Process Evaluation

- Process variability was evaluated after the fact
- Dissection data collected after the Columbia accident showed excessive variability (Coefficient of variation is greater than 100%)
- Within tank variability was high, and tank to tank variability could not be fully characterized
- Defect/void characterization was difficult and statistics derived had high level of uncertainty
- The natural variation of the process was not well understood
- The relationship between process control variables and defects
 is not known
- For certification, a max expected void size was derived based on statistics and engineering analysis including a penalty factor for the unknowns in process control

Evaluation of Redesigned Components and Process Enhanced Foam

- Conducted verification and validation testing sufficient enough to understand and characterize the process variability and process capability
- Evaluated process capability for meeting the specification
- Evaluated process control for process uniformity
- Statistical evaluation of the data showed that significant improvements were made in process uniformity and process capability, including significant reduction in the coefficient of variation (COV) of the process critical output parameters (e.g. void frequency and void sizes)
- Void characterization was still difficult because of limitation of the data and lack of good definition of the right tail of the data distribution

The Data, Models, Analysis, and the Results



The Columbia Accident Case The Conclusion

- The clear messages from the Columbia accident and the ET TPS foam experience are:
 - It is critical to understand the relationship between process control, component reliability, and system safety.
 - Inadequate manufacturing and quality control can have a severe negative impact on component reliability and system safety.
 - Process design should be considered upfront in the overall design process.
- Physics based Risk Assessment is critical to understand failure mechanism, integrated failures, etc..



Reliability and Maintainability (R&M) Engineering The NSC STEP Training Resource

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Agenda

- <u>The Safety and Mission Assurance Technical Excellence</u> <u>Program (STEP) Overview</u>
- The Reliability and Maintainability (R&M) Engineering Curriculum
- The "Design for Reliability " five Modules Training Course
- Other Selected R&M Courses

STEP Overview

STEP, the <u>Safety</u> and Mission Assurance <u>Technical</u> <u>Excellence</u> <u>Program</u>, is a professional education program:

- Developed by the NASA Safety Center
- Structured, career-oriented professional development
- Focused on the six disciplines
- Four curriculum levels
- Combination of online and instructor-led courses

STEP – A Complete Curriculum



The STEP Curriculum

• The STEP Curriculum is delivered across four levels with increasing specialization.



The STEP Curriculum

- A complete curriculum plan for each discipline by level:
 - Courses & Descriptions
 - Readings & Resources
 - OJT Experiences
 - Domain Training





R&M Curriculum – Level 2

□ A Minimum of 100 Hours Required

> 80 Required Discipline Training

- Design For Reliability
- Design For Maintainability
- R&M Principles and Planning

20 Hours of Elective Courses

- Material Control
- Metrology and Calibration
- Introduction to Safety and Health Management
- Introduction To Software Testing
- Etc.

R&M Curriculum – Level 3

□ A Minimum of 137 Hours Required Discipline Training

- > 103.5 Hours Required
 - FMEA/CIL and FMECA
 - Basic Fault Tree Analysis I
 - Data Collection and Analysis I
 - Maintainability and Supportability Analysis and Integration
 - Testing and Demonstration I
 - Reliability, Availability, and Maintainability Modeling I
 - Root Cause Analysis
 - System Safety I
 - Completing The Investigation And Mishap Report
 - Mishap Investigation Roles And Responsibilities
 - Probabilistic Risk Assessment Methods (PRAM) for Practitioners and Managers
- > 33.5 Hours (minimum) of Level 3 Elective Courses
 - Design of Experiments Overview
 - Drawings, Dimensions, & Tolerances SMA
 - Electrical Safety Basics
 - Etc.

R&M Curriculum – Level 4

□ A Minimum of 137 Hours Required Discipline Training

- 106 Hours Required
 - Data Collection and Analysis II
 - Human Reliability Analysis
 - Parts and Materials Assessment (IEEE, Mechanical, Parts Stress/Derating)
 - Physics of Failure (Failure Mechanisms)
 - R&M Testing and Demonstration II
 - Reliability, Availability, and Maintainability Modeling II
 - Software Reliability Toolkit and Software Failure Modes And Effects Analysis (FMEA)
- > 31 Hours (minimum) of Level 3 Elective Courses
 - Operational Aircraft Performance and Flight Test Practices
 - Safety of Complex Electronics
 - Statistical Quality Control
 - Risk Assessment of Space Systems
 - Etc.

NSC Website



Reliability Challenges

- Having the right mix of Reliability engineering skills to support long duration manned missions beyond LEO
- Creating consistent methodologies for reliability allocations, predictions, demonstration, and analysis.
- Integrating reliability, maintainability, and supportability (RMS) analyses, a key to reduce sustainment cost and achieve high system availability for future NASA programs and projects.
- Embedding reliability engineers in the design engineering community to effectively help the design process.
- Training our engineering community to have a better understanding of the language of probability, statistics, and reliability engineering.